

# ON-SITE COMPLIANCE ASSESSMENT / MOCK AUDIT

## What Is It ?

Our On-Site Compliance Review replicates a genuine Department of Transportation Audit. We use DOT's own software and procedures to evaluate records, systems and controls. We follow the same audit process used by Federal investigators, as set forth in their staff training manual, and calculate the results using DOT's auditing software - Capri. Based on our findings, we can tell you if a real DOT audit would result in an adverse safety rating and/or civil penalties.

## What We Review:

We examine every area of your operation including:

1. Driver Qualification
2. Drug and Alcohol Testing
3. Hours of Service
4. Vehicle Maintenance
5. Hazardous Materials
6. Accident Records

## What We Provide:

Following the assessment we will make recommendations for corrective actions that will bring your company into compliance. We'll point out the tasks that need to be completed and find easy ways to help you get the job done. Specifically, we'll provide:

- A Comprehensive Audit Report Similar To One That You Would Receive From A DOT Investigator
- Your Anticipated Safety Rating
- An Estimate Of The Fines That Would Be Assessed (Had The Audit Been Real) Based On DOT's Uniform Fine Assessment Software
- A Comprehensive List Of Violations And Findings
- An Inventory Of The Driver Qualification Files We Reviewed, Listing The Deficiencies In Each
- Recommendations For Systems And Controls To Prevent Future Violations
- Instruction On How To Implement Corrective Actions

**Want More Information?**

Contact us at (800) 308-6989

[www.TransForce.com](http://www.TransForce.com)

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## Why Audit ?

- To prepare for a genuine DOT compliance review
- To limit exposure to fines (up to \$10,000 per violation)
- To prepare for possible accident litigation
- To correct deficiencies and strengthen controls

## Audit Facts by the Numbers :

Companies Audited By DOT Annually:	10,102
Adverse Safety Ratings Issued Annually:	2,667
Maximum Civil Penalty Per Violation:	\$10,000
Maximum Criminal Penalty Per Violation:	\$25,000 & 1 Year In Prison

*TSG successfully guided us through a DOT audit and helped us obtain a favorable safety rating. Their recommendations were clear, concise and easy to implement. TSG's service was definitely a worthwhile investment for our company.*

**Cesar Carrillo**  
**Safety Director**  
**Gold Coast Freightways**  
**85 Truck LTL Carrier**



*TSG's mock audit service provided us with a substantial return on investment. We feel more comfortable about our safety program than ever before. We were very impressed with their knowledge and - above all - their service.*

**Paul Marston**  
**Abenaqui Carriers**  
**100 Tank Truck Petroleum Carrier**

## About TSG

TransForce Services Group (TSG) is a nationwide professional services firm that helps companies understand and comply with the Federal Motor Carrier Safety Regulations. TSG's principal mission is to help companies contain costs by managing the risk exposures associated with non-compliance. Our team of safety consultants is comprised of individuals who have worked for major motor carriers, the Department of Transportation (DOT) and the American Trucking Associations (ATA). Our managing director formerly served as the Director of Safety Policy for ATA. In that role he was the association's lead advocate and specialist on DOT audit, safety rating and enforcement issues.

For years our consultants have successfully helped Fortune 500 transportation companies improve their compliance programs and obtain favorable DOT safety ratings. We are members and active participants in the ATA Regulations Committee, the ATA Safety Policy Committee, the Commercial Vehicle Safety Alliance, and the National Private Truck Council.